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PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No. SONY 3.0-022
	First Inventor Harold A. Ludtke
	Title A METHOD OR SYSTEM FOR EXECUTING, etc.
Express Mail Label No. EL807554567US	

APPLICATION ELEMENTS		ADDRESS TO: Commissioner for Patents Washington, DC 20231	
See MPEP chapter 800 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	<div style="writing-mode: vertical-rl; transform: rotate(180deg);"> 10/11/01 096179-01 old s n c55nc </div>	
2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>		
3. <input checked="" type="checkbox"/> Specification [Total Pages 39] <small>(preferred arrangement set forth below)</small>	a. <input type="checkbox"/> Computer Readable Form (CRF)		
<ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Brief Summary of the Invention - Background of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 	b. <input type="checkbox"/> Specification Sequence Listing on i. <input type="checkbox"/> CD-ROM or CD-R (2 copies), or ii. <input type="checkbox"/> paper		
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]	c. <input type="checkbox"/> Statements verifying identity of above copies		
5. Oath or Declaration [Total Pages <input]<="" td="" type="checkbox"/> <td colspan="2"> ACCOMPANYING APPLICATIONS PARTS </td> <td></td>	ACCOMPANYING APPLICATIONS PARTS		
a. <input type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small>	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>		
i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement(s) attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small>	11. <input type="checkbox"/> English Translation Document (if applicable)		
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
	13. <input type="checkbox"/> Preliminary Amendment		
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>		
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>		
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) <small>Applicant must attach form PTO/SB/35 or its equivalent.</small>		
	17. <input checked="" type="checkbox"/> Other Unexecuted Declaration		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____

Group / Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS	
<input checked="" type="checkbox"/> Customer Number or Bar Code Label	 000530
or <input type="checkbox"/> Correspondence address below	
Name _____	
Address _____	
City _____	State _____ Zip Code _____
Country _____	Telephone _____ Fax _____

Name (Print/Type) Matthew B. Dernier	Registration No. (Attorney/Agent) 40,989
Signature	Date December 11, 2001

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Harold A. Ludtke
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Attorney Docket No.	SONY 3.0-022

TOTAL AMOUNT OF PAYMENT (\$) 1,838.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 12-1095

Deposit Account Name Lerner, David, Littenberg, Krumholz & Mentlik, LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Applicant claims small fee status. See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740 201	370	Utility filing fee	740 00
106 330 206	165	Design filing fee	
107 510 207	255	Plant filing fee	
108 740 208	370	Reissue filing fee	
114 160 214	80	Provisional filing fee	
SUBTOTAL (1) (\$)			740 00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
81	-20** =	61 X 18 00 =	1,098 00
Independent Claims	3	-3** =	0 00
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 130 205	65	Surcharge - late filing fee or oath	
127 50 227	25	Surcharge - late provisional filing fee or cover sheet	
139 130 139	130	Non-English specification	
147 2,520 147	2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110 215	55	Extension for reply within first month	
116 400 216	200	Extension for reply within second month	
117 920 217	460	Extension for reply within third month	
118 1,440 218	720	Extension for reply within fourth month	
128 1,980 228	980	Extension for reply within fifth month	
119 320 219	160	Notice of Appeal	
120 320 220	160	Filing a brief in support of an appeal	
121 280 221	140	Request for oral hearing	
138 1,510 138	1,510	Petition to institute a public use proceeding	
140 110 240	55	Petition to revive - unavoidable	
141 1,280 241	640	Petition to revive - unintentional	
142 1,280 242	640	Utility issue fee (or reissue)	
143 460 243	230	Design issue fee	
144 620 244	310	Plant issue fee	
122 130 122	130	Petitions to the Commissioner	
123 50 123	50	Processing fee under 37 CFR 1.17(a)	
126 180 126	180	Submission of Information Disclosure Stmt	
581 40 581	40	Recording each patent assignment per property (times number of properties)	
146 740 246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149 740 249	370	For each additional invention to be examined (37 CFR 1.129(b))	
178 740 279	370	Request for Continued Examination (RCE)	
169 900 169	900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			0 00

SUBMITTED BY

Name (print/type)	Matthew B. Demier	Registration No. (Attorney/Agent)	40,989	Complete (if applicable)	
Signature		Telephone	(908) 654-5000	Date	December 11, 2001

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